

ANNEX IA

Notification document for transboundary movements/shipments of waste

1. Exporter — notifier Name: Address: Contact person: Tel.: E-mail:		Registration No: Fax:	3. Notification No: Notification concerning A. (i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipments: <input type="checkbox"/> B. (i) Disposal (1): <input type="checkbox"/> (ii) Recovery: <input type="checkbox"/> C. Pre-consented recovery facility (2) (3): Yes <input type="checkbox"/> No <input type="checkbox"/>
2. Importer — consignee Name: Address: Contact person: Tel.: E-mail:		Registration No: Fax:	4. Total intended number of shipments: 5. Total intended quantity (4): Tonnes (Mg): m ³ :
8. Intended carrier(s) Name (7): Address: Contact person: Tel.: E-mail: Means of transport (6):		Registration No: Fax:	6. Intended period of time for shipment(s) (4): First departure: Last departure: 7. Packaging type(s) (5): Special handling requirements (6): Yes <input type="checkbox"/> No <input type="checkbox"/> 11. Disposal/recovery operation(s) (2) D-code/R-code (5): Technology employed (6): Reason for export (1) (6):
9. Waste generator(s) — producer(s) (1) (7) (8) Name: Address: Contact person: Tel.: E-mail: Site and process of generation (6)		Registration No: Fax:	12. Designation and composition of the waste (6): 13. Physical characteristics (5):
10. Disposal facility (2): <input type="checkbox"/> or recovery facility (2): <input type="checkbox"/> Registration No: Name: Address: Contact person: Tel.: E-mail: Actual site of disposal/recovery:		Registration No: Fax:	14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): (ii) OECD code (if different from (i)): (iii) EC list of wastes: (iv) National code in country of export: (v) National code in country of import: (vi) Other (specify): (vii) Y-code: (viii) H-code (5): (ix) UN class (5): (x) UN number: (xi) UN shipping name: (xii) Customs code(s) (HS):
15. (a) Countries/States concerned, (b) code No of competent authorities where applicable, (c) specific points of exit or entry (border crossing or port)			
State of export — dispatch		State(s) of transit (entry and exit)	
State of import — destination			
(a)			
(b)			
(c)			
16. Customs offices of entry and/or exit and/or export (European Community): Entry: Exit: Export:			
17. Exporter's — notifier's/generator's — producer's (1) declaration: I certify that the information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement. Exporter's — notifier's name: Date: Signature: Generator's — producer's name: Date: Signature:			18. Number of annexes attached
FOR USE BY COMPETENT AUTHORITIES			
19. Acknowledgement from the relevant competent authority of countries of import — destination/transit (1)/export — dispatch (5): Country: Notification received on: Acknowledgement sent on: Name of competent authority: Stamp and/or signature:		20. Written consent (1) (6) to the movement provided by the competent authority of (country): Consent given on: Consent valid from: until: Specific conditions: No <input type="checkbox"/> If Yes, see block 21 (6): <input type="checkbox"/> Name of competent authority: Stamp and/or signature:	
21. Specific conditions on consenting to the movement or reasons for objecting			
(1) Required by the Basel Convention. (2) In the case of an R12/R13 or D13-D15 operation, also attach corresponding information on any subsequent R12/R13 or D13-D15 facilities and on the subsequent R1-R11 or D1-D12 facility/ies when required.		(3) To be completed for movements within the OECD area and only if B(ii) applies. (4) Attach detailed list if multiple shipments. (5) See list of abbreviations and codes on the next page.	
		(6) Attach details if necessary. (7) Attach list if more than one. (8) If required by national legislation. (9) If applicable under the OECD Decision.	

List of abbreviations and codes used in the notification document

<p>DISPOSAL OPERATIONS (block 11)</p> <p>D1 Deposit into or onto land (e.g. landfill, etc.) D2 Land treatment (e.g., biodegradation of liquid or sludgy discards in soils, etc.) D3 Deep injection (e.g. injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.) D4 Surface impoundment (e.g. placement of liquid or sludge discards into pits, ponds or lagoons, etc.) D5 Specially engineered landfill (e.g. placement into lined discrete cells which are capped and isolated from one another and the environment, etc.) D6 Release into a water body except seas/oceans D7 Release into seas/oceans including sea-bed insertion D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g. evaporation, drying, calcination, etc.) D10 Incineration on land D11 Incineration at sea D12 Permanent storage (e.g. emplacement of containers in a mine, etc.) D13 Blending or mixing prior to submission to any of the operations in this list D14 Repackaging prior to submission to any of the operations in this list D15 Storage pending any of the operations in this list</p>																																															
<p>RECOVERY OPERATIONS (block 11)</p> <p>R1 Use as a fuel (other than in direct incineration) or other means to generate energy (Basel/OECD) — Use principally as a fuel or other means to generate energy (EU) R2 Solvent reclamation/regeneration R3 Recycling/reclamation of organic substances which are not used as solvents R4 Recycling/reclamation of metals and metal compounds R5 Recycling/reclamation of other inorganic materials R6 Regeneration of acids or bases R7 Recovery of components used for pollution abatement R8 Recovery of components from catalysts R9 Used oil re-refining or other reuses of previously used oil R10 Land treatment resulting in benefit to agriculture or ecological improvement R11 Uses of residual materials obtained from any of the operations numbered R1-R10 R12 Exchange of wastes for submission to any of the operations numbered R1-R11 R13 Accumulation of material intended for any operation in this list.</p>																																															
<p>PACKAGING TYPES (block 7)</p> <p>1. Drum 2. Wooden barrel 3. Jerrican 4. Box 5. Bag 6. Composite packaging 7. Pressure receptacle 8. Bulk 9. Other (specify)</p>	<p>H-CODE AND UN CLASS (block 14)</p> <table border="1"> <thead> <tr> <th>UN Class</th> <th>H-code</th> <th>Characteristics</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>H1</td> <td>Explosive</td> </tr> <tr> <td>3</td> <td>H3</td> <td>Flammable liquids</td> </tr> <tr> <td>4.1</td> <td>H4.1</td> <td>Flammable solids</td> </tr> <tr> <td>4.2</td> <td>H4.2</td> <td>Substances or wastes liable to spontaneous combustion</td> </tr> <tr> <td>4.3</td> <td>H4.3</td> <td>Substances or wastes which, in contact with water, emit flammable gases</td> </tr> <tr> <td>5.1</td> <td>H5.1</td> <td>Oxidising</td> </tr> <tr> <td>5.2</td> <td>H5.2</td> <td>Organic peroxides</td> </tr> <tr> <td>6.1</td> <td>H6.1</td> <td>Poisonous (acute)</td> </tr> <tr> <td>6.2</td> <td>H6.2</td> <td>Infectious substances</td> </tr> <tr> <td>8</td> <td>H8</td> <td>Corrosives</td> </tr> <tr> <td>9</td> <td>H10</td> <td>Liberation of toxic gases in contact with air or water</td> </tr> <tr> <td>9</td> <td>H11</td> <td>Toxic (delayed or chronic)</td> </tr> <tr> <td>9</td> <td>H12</td> <td>Ecotoxic</td> </tr> <tr> <td>9</td> <td>H13</td> <td>Capable, by any means, after disposal of yielding another material, e.g., leachate, which possesses any of the characteristics listed above</td> </tr> </tbody> </table>		UN Class	H-code	Characteristics	1	H1	Explosive	3	H3	Flammable liquids	4.1	H4.1	Flammable solids	4.2	H4.2	Substances or wastes liable to spontaneous combustion	4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases	5.1	H5.1	Oxidising	5.2	H5.2	Organic peroxides	6.1	H6.1	Poisonous (acute)	6.2	H6.2	Infectious substances	8	H8	Corrosives	9	H10	Liberation of toxic gases in contact with air or water	9	H11	Toxic (delayed or chronic)	9	H12	Ecotoxic	9	H13	Capable, by any means, after disposal of yielding another material, e.g., leachate, which possesses any of the characteristics listed above
UN Class	H-code	Characteristics																																													
1	H1	Explosive																																													
3	H3	Flammable liquids																																													
4.1	H4.1	Flammable solids																																													
4.2	H4.2	Substances or wastes liable to spontaneous combustion																																													
4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases																																													
5.1	H5.1	Oxidising																																													
5.2	H5.2	Organic peroxides																																													
6.1	H6.1	Poisonous (acute)																																													
6.2	H6.2	Infectious substances																																													
8	H8	Corrosives																																													
9	H10	Liberation of toxic gases in contact with air or water																																													
9	H11	Toxic (delayed or chronic)																																													
9	H12	Ecotoxic																																													
9	H13	Capable, by any means, after disposal of yielding another material, e.g., leachate, which possesses any of the characteristics listed above																																													
<p>MEANS OF TRANSPORT (block 8)</p> <p>R = Road T = Train/rail S = Sea A = Air W = Inland waterways</p>																																															
<p>PHYSICAL CHARACTERISTICS (block 13)</p> <p>1. Powdery/powder 2. Solid 3. Viscous/paste 4. Sludgy 5. Liquid 6. Gaseous 7. Other (specify)</p>																																															

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y-codes, can be found in a Guidance/Instruction Manual available from the OECD and the Secretariat of the Basel Convention.